

# *Judging Merits*

## *The Value of Publications*

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*Without merit  
there should be  
no reward*

(Chinese proverb)

# *Outline*

- **Presentation of survey**
- **Results**
- **Conclusions**
- **Later developments**
  - **The Resource Inquiry**
  - **University large scale evaluations**
    - **Uppsala University**
    - **Lund University**



# ***The Value of Publications***

## ***Use of publication data in Swedish HE:s***

- A survey commissioned by the Association of Swedish Higher Education (SUHF) in 2006 .
- What variables based on publication data are currently used at Swedish HE:s for:
  - Evaluation for promotion and appointments
  - Allocation of government research funding



# *The Value of Publications*

## Background

- The first study of its kind in Sweden
- Mini telephone interview pilot Lund University before survey
- Survey draft sent for comments to SUHF
- 17 survey questions, many very detailed.
- Awareness one goal
- Room for comments



# *The Value of Publications*

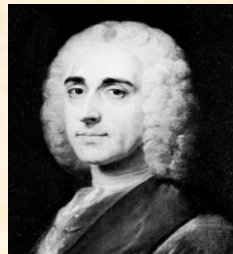
## **Methods - Response**

- SUHF supplied lists of contact persons at each HE-institution
- 95 persons at 30 HE:s were contacted by email
- They received information about the survey, and user IDs and passwords to the survey web site
- All answers trackable. Reminders could be sent
- 85% personal response rate
- All contacted HE:s responden



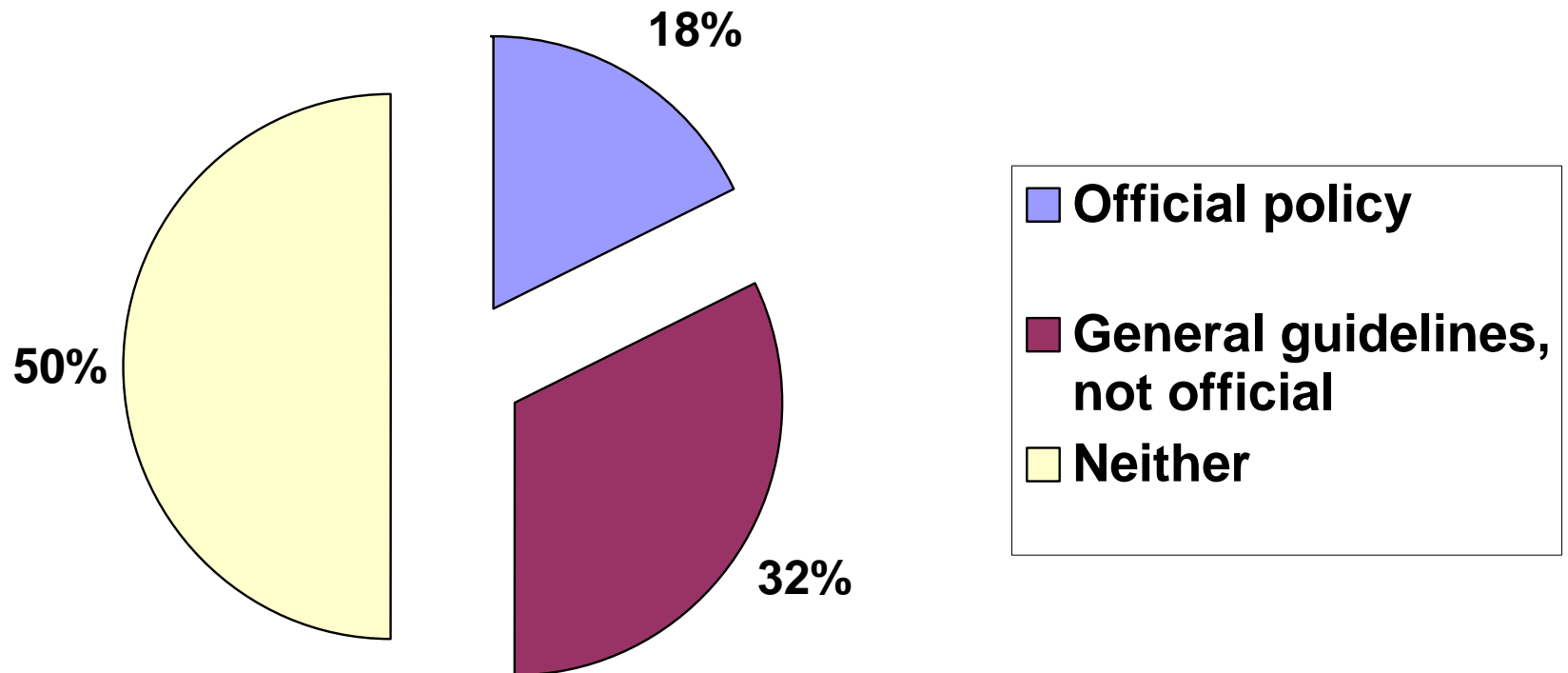
**Merit at Courts, without favour, will do  
little or nothing;  
favour, without merit, will do a good  
deal;  
but favour and merit together will do  
everything.**

**(Philip Dormer Stanhope, 4th Earl Chesterfield (1694–1773))**



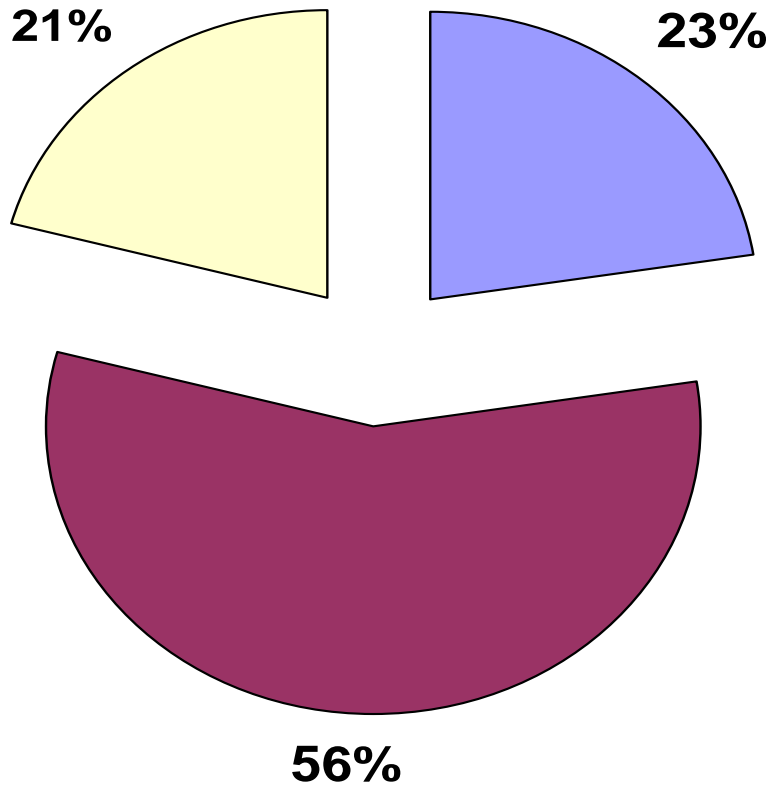
# Official policy or General guidelines




## Promotion & Appointments



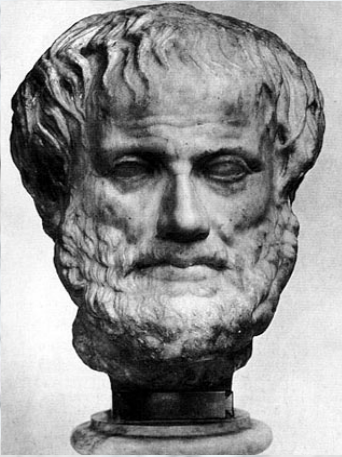
# Official policy or General guidelines

Allocation of government research funding



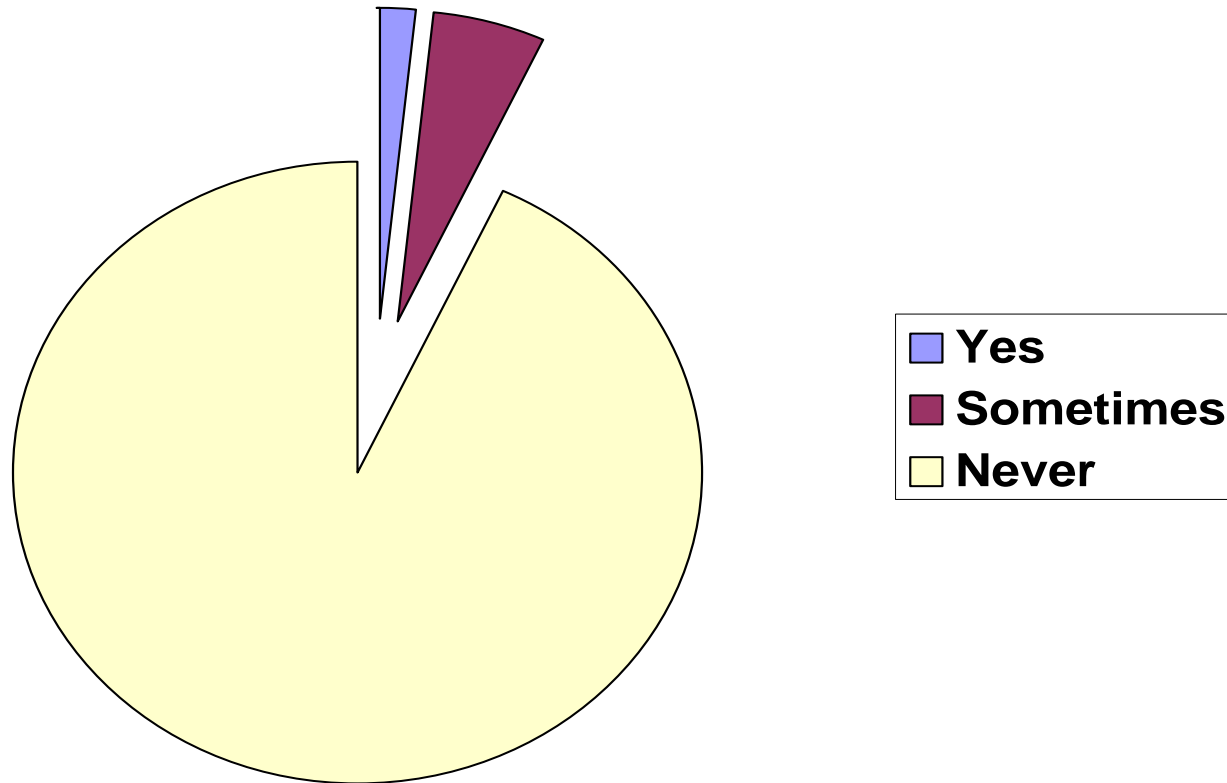
-  **Official policy**
-  **General guidelines (not official)**
-  **Neither**

**With regard to excellence, it is not  
enough to know, but we must try  
to have and use it.**

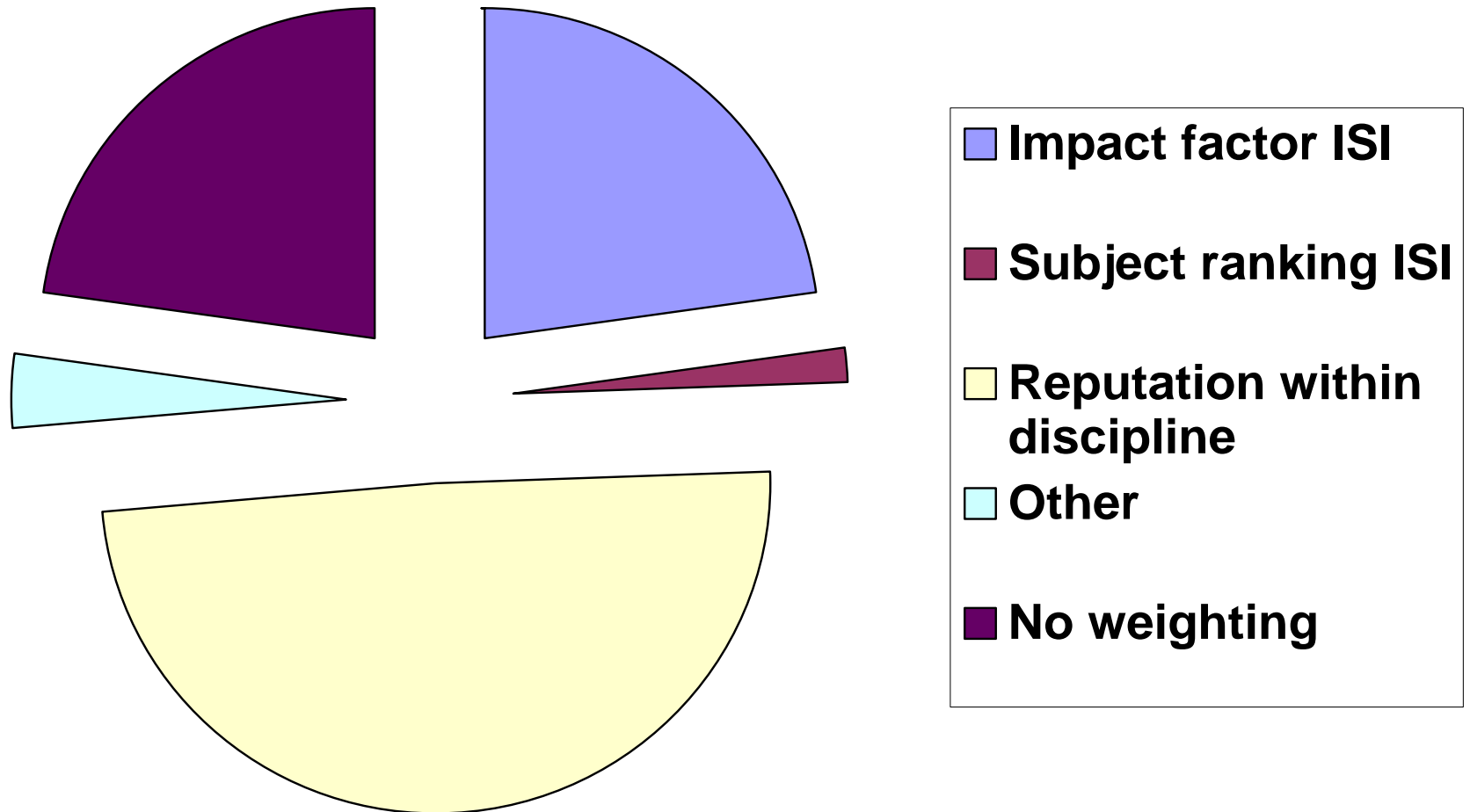


**(Aristotle)**

# Use of bibliometric expertise

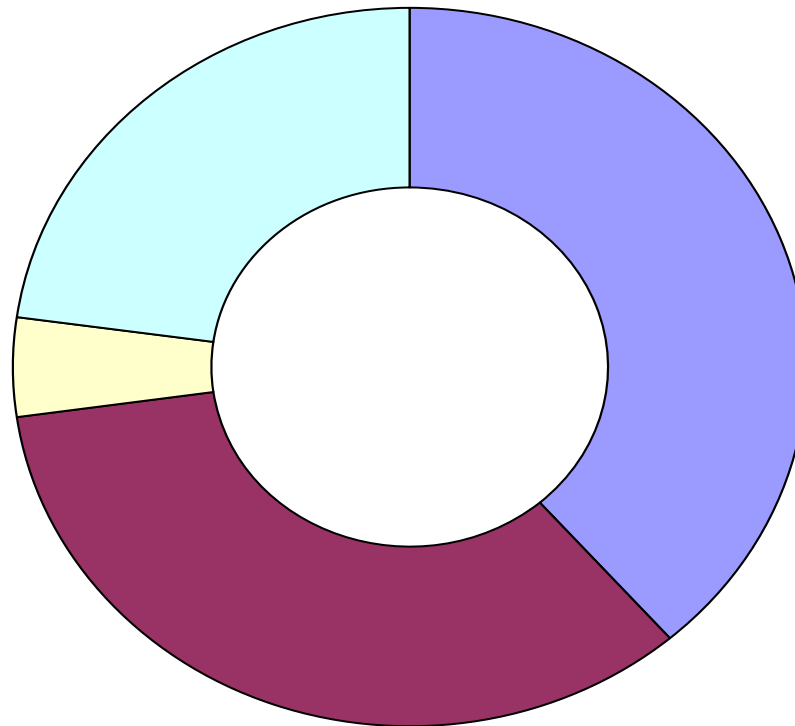


# Ranking refereed journals



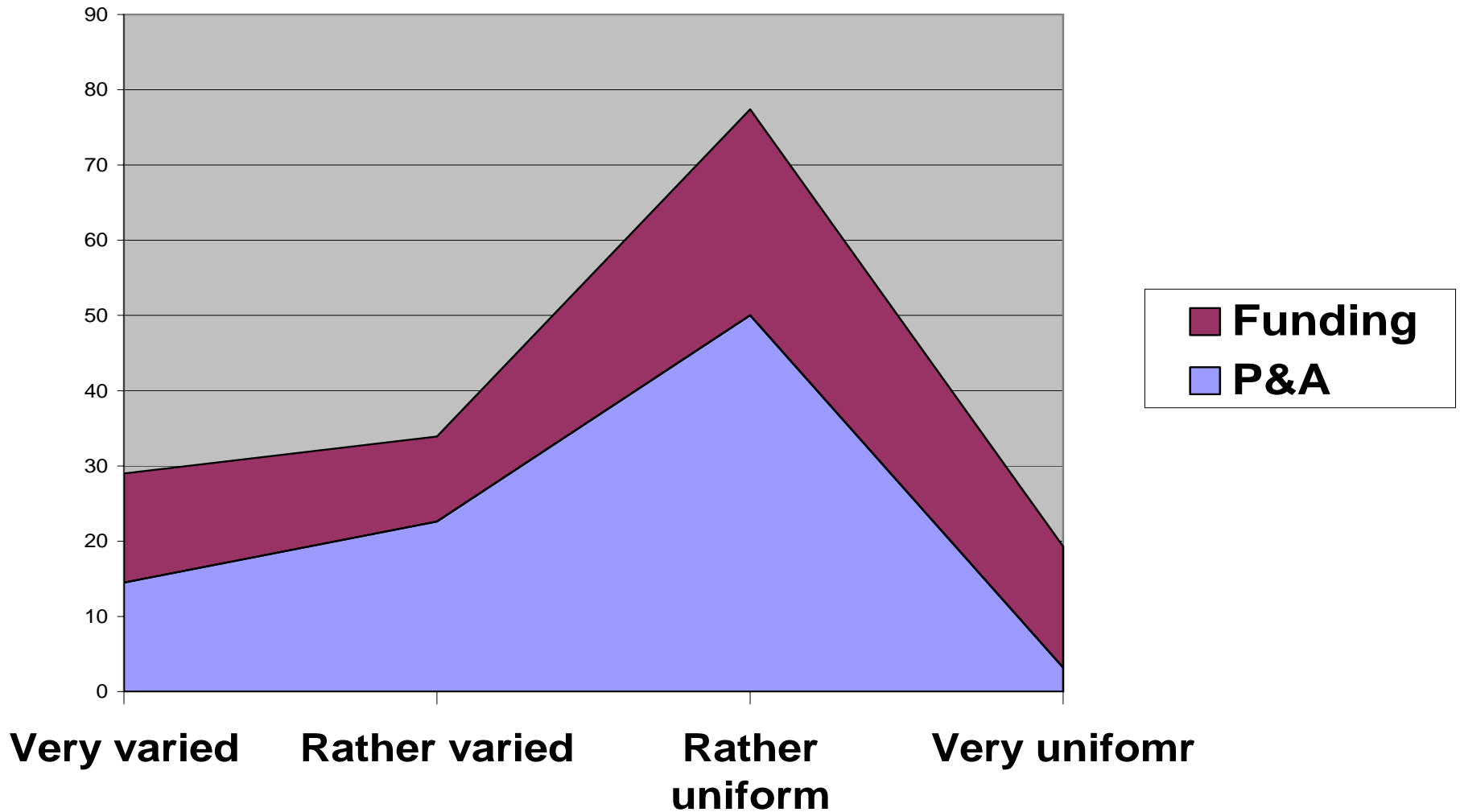
# Teaching & Learning publications vs Research Publications.

Professors and Senior lecturers



Equal Extra points (other merits being equal) Not counted n/a

# Uniformity of journal evaluation



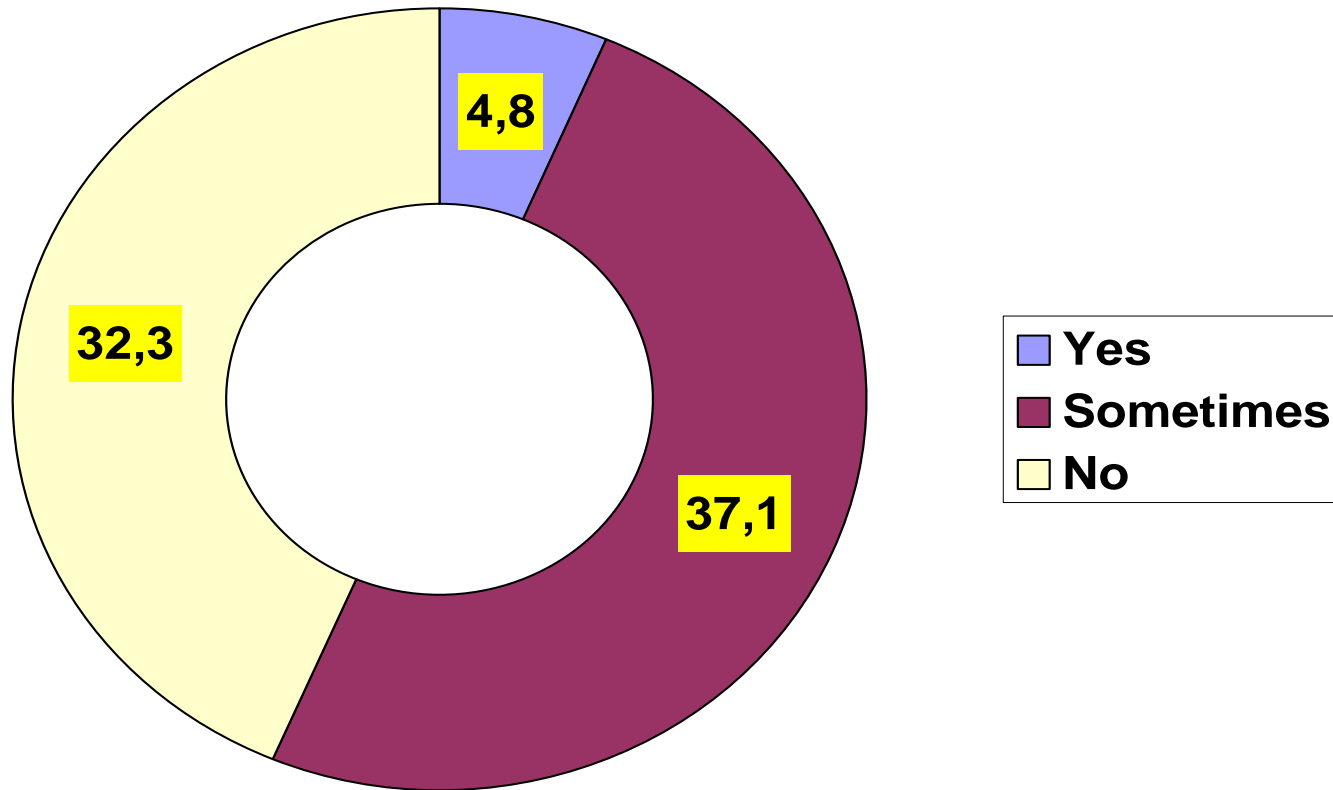
**It takes a long time to bring  
excellence to maturity.**

**(Publilius Syrus)**

**Time is a just judge of  
merit**

**(Solon)**

# Number of citations. Appointments



# *Survey Comments*

- Dissimilarities between disciplines in publication habits. Differences between basic and applied science
- How can these differences be properly considered in evaluation processes?
- Department specific routines.
- No guidelines or policies are set, because it is up to appointed external referees to choose their own criteria
- Many foresee changes in connection with planned quality based evaluations for resource allocations,



*Success is a science;  
if you have the conditions,  
you get the result.*

(Oscar Wilde)

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# Competing University Paradigms



Competition



Collaboration



# *Quality* ⇌ *Resources*

1. **“The Resources Inquiry”2007**. Government report proposing models for the allocation of funding to research and research education
2. **“Quality and Renewal 2007 Q&R07”**  
Research evaluation at Uppsala University
3. **Research Quality Assurance for the Future**  
RQ08. Research evaluation at Lund University



# *The Resources Inquiry*

- 50% of government funding for research will be allocated to each university according to quality and performance
- A new model with evaluations and indicators :
  - Evaluations of research quality
  - Field normalized citations to international research publications
  - External funding
  - Number of teachers with PhD
  - Number of female professors



# *Quality and Renewal Q&R07*

## *Research evaluation at Uppsala University*

- 24 international expert panels
- Bibliometric analysis. Independent final support for panels
- Citations to publications 2002-2006 by all researchers employed Sept, 2006 (self-citations excluded)
- Web of Science complemented by the Uppsala University publication database OPUS
- **Conclusion 1:** Good correlation between panel evaluations and the bibliometric analysis
- **Conclusion 2:** Metrics support panel evaluations but cannot replace them
- Follow-up of Q&R07 in five years.



# *Research Quality Assurance for the Future*

## *RQ-08. Lund University*

- Seventeen panels of external researcher
- Basis for decisions on research funding in 2009.
- Support and develop strong research fields and successful research teams.
- Look at each research area from national and international perspectives No comparison of different faculty areas
- A bibliometric analysis of all publications 2003-2007. Based on the Lund University Publication Database LUP
- A separate complement to the panel evaluations.
- Research evaluations will be performed every five years.





# Openness



Competition has been shown to be useful up to a certain point and no further, but cooperation, which is the thing we must strive for today, begins where competition leaves off.

(Franklin D. Roosevelt)



*There are two kinds of people,  
those who do the work and  
those who take the credit.  
Try to be in the first group;  
there is less competition there.*

(Indira Gandhi)

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Thank you for your attention!

